

FACT SHEET

Annual Self-Comply Workshop 2021

Focus Areas

The topics for discussion are geared towards the changing “conduct” environment in the financial services sector and the outcomes thereof.

Who should attend?

FSP's without a Compliance Officer.

CPD info

3 CPD hours.

Agenda

Time	Topic
10:00 – 10:10	Opening
10:10 – 10:25	Conduct of Business Report ('COBR')
10:25 – 11:40	Amendments to the General Code of Conduct ('GCOC') – high level overview
11:40 – 11:50	Break
11:50 – 12:10	Financial statements – submission via the FAIS e-portal
12:10 – 12:30	Financial Sector Transformation Council ('FSTC')
12:30 – 12:35	Financial Sector Regulation Act ('FSRA') – high level overview
12:35 – 13:00	Conduct of Financial Institutions Bill ("COFI Bill") – high level overview
13:00 – 13:10	Questions

Hosted by

Moonstone Business School of Excellence (MBSE).

Time

10:00 – 13:10.

Cost

- Cost to attend the webinar is only R400 (excluding VAT).
- Moonstone Self-Comply clients – free of charge.

Category

CPD.